PTO/SB/21 (09-04) (AW 10/2004) Approved for use through 7/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 16

Application Number	10/644,137	
Filing Date	August 20, 2003	
First Named Inventor	Kevin K. Lehmann	
Art Unit	2878	
Examiner Name	Don J. Williams	
Attorney Docket No.	PRU-103US	

ENCLOSURES (Check all that apply)							
Fee Transmittal Fo			Drawi	ng(s) sing-related	i Papers		After Allowance Communication to TC
Amendment/Reply	,		Petitio	on	·		Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC
. Affidavits/De	edaration(s)			on to Conve sional Appli			(Appeal Notice, Brief, Reply Brief)
Extension of Time	Request			ge of Corre	y, Revocation, spondence		Proprietary Information
Express Abandoni	ment Request			nal Disclair	ner		Status Letter Other Enclosure(s) (please
Information Disclo	sure Statement		•	est for Refu			identify below):
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Firm Name RatnerF Signature	Prestia	K	4				
	S L. Etkowicz				Designation No.	44	720
Date April 4,	2005				Registration No.	41,	738
CERTIFICATE OF TRANSMISSION / MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:							
Signature Kathler P. Carney							
Typed or Printed Name	Kathleen P. Carney					Date	April 4, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/644,137

Applicants:

Kevin K. Lehmann et al.

Filed:

August 20, 2003

Title:

TAPERED FIBER OPTIC STRAIN GAUGE USING CAVITY RING-DOWN

**SPECTROSCOPY** 

TC/A.U.:

2878

Examiner:

Don J. Williams

### INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form, other than U.S. patents, are enclosed.

US 6,097,555 and EP 1 195 582 cited on the International Search Report were previously reported to the USPTO in an IDS filed on August 20, 2003.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

PRU-103US PATENT

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

RatnerPrestia

Jacques L. Etkowicz, Reg. No. 41,738

Attorney for Applicants

JLE/kpc

Enclosures: PTO Form 1449

(3) References Search Report Transmittal Form

Dated: April 4, 2005

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

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April 4, 2005

Kathleen P. Carney

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or Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

	Complete if Known	
Application Number	10/644,137	
Filing Date	08/20/2003	
First Named Inventor	Kevin K. Lehmann	
Art Unit	2878	
Examiner Name	Don J. Williams	
Attorney Docket No.	PRU-103US	

Considered

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (if known)</sup>	Issue/ Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear	
		US-2003/109055 A1	06/12/2003	Lehmann et al.		
		US-5,637,865 A	06/10/1997	Bullat et al.		
		US-4,725,728 A	02/16/1988	Brininstool et al.		
		US-5,649,035 A	07/15/1997	Zimmerman et al .		
		US-5,636,021 A	06/03/1997	Udd et al.		
		US-2003/007715 A1	01/09/2003	Loock et al.		
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		FOREIGN PA	TENT DOCUMEN	TS		
		Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	T6
		JP 61258131 (English Abstract)	11/15/1986	Uesugi Minoru		
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Examiner	<del></del>			Date		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Signature

5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

	Complete if Known			
Application Number	10/644,137			
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First Named Inventor	Kevin K. Lehmann			
Art Unit	2878			
Examiner Name	Don J. Williams			
Attorney Docket No.	PRU-103US			

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		OGAWA ET AL.; Stabilisation of Stokes oscillation in Brillouin ring amplification system for strain measurement; Electronics Letters, February 4, 1999, Vol. 35, No. 3, pp. 231-233	
		ZIMMERMANN ET AL.; Fiber-Optic Sensors Using High-Resolution Optical Time Domain Instrumentation Systems; Journal of Lightwave Technology, September 1, 1990, Vol. 8, No. 9, pp. 1273-1277	
		International Search Report for PCT Application No. PCT/US2004/025755 mailed 02/03/2005	
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Examiner	<u> </u>	Date	

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

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